

RECEIVED
DEC 09 2014
OFFICE OF THE SECRETARY

UNITED STATES OF AMERICA
BEFORE THE
SECURITIES AND EXCHANGE COMMISSION

Received
DEC 09 2014

Office of Administrative
Law Judges

INVESTMENT ADVISORS ACT OF 1940
Release No. 3967/November 13, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-16274

IN THE MATTER OF
GREGORY VIOLA,
Respondent.

RESPONDENT'S ANSWER PURSUANT
TO RULE 220 RE: SEC ORDER
INSTITUTING ADMINISTRATIVE
PROCEEDINGS PURSUANT TO
SECTION 203(f) OF THE
INVESTMENT ADVISORS ACT OF
1940 AND NOTICE OF HEARING

I.

Respondent Gregory Viola denied that it is appropriate and in the public interest that public administrative proceedings be, and hereby should not be instituted pursuant to Section 203(f) of the Investment Advisers Act of 1940.

II.

Pursuant to paragraph II, the Respondent answers that:

A. RESPONDENT

1. Respondent admits that he is 62 years of age and that he has never registered with the State of Connecticut as an Investment Adviser and has never been registered with the Commission as an Investment Adviser of in any other capacity. Respondent denies the remainder of the allegations set forth in paragraph II(A)(1).

B. RESPONDENT'S CRIMINAL CONVICTION

2. Respondent admits paragraph II(B)(2).
3. Respondent admits paragraph II(B)(3).
4. Respondent admits paragraph II(B)(4).

III.

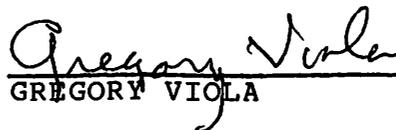
In view of the allegations made by the Division of Enforcement, the Respondent denies that it is necessary and appropriate or in the public interest that public administrative proceedings be instituted to determine

- A. As the allegations set forth in section II hereof are not true and pursuant to Rule 220(d) files attached a Motion for More Definite Statement; and
- B. For same no remedial action is appropriate in the public interest against Respondent pursuant to Section 203(f) of the Investment Advisers Act.

IV.

Respondent on November 24, 2014 filed a Motion to Extend the hearing date of December 16, 2014 for the purpose to answer this complaint, and allow time for the SEC to comply with Rule 220(d).

Respectfully submitted,



GREGORY VIOLA

